

Using Crossref metadata to track Open Access (and more)



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We are Crossref, a not-for-profit membership organization for scholarly publishing, working to make content easy to find, cite, link and assess. We do it in five ways: rallying the community; tagging metadata; running a shared infrastructure; playing with new technology; and making tools and services to improve research communications.

It's as simple—and as complicated—as that.



Crossref Overview

- 5500+ publisher members, 1 member 1 vote
- Metadata store of over 85 million scholarly content items (including preprints)
- Persistent citation linking
- Funder identifiers
- Report and display corrections & retractions
- Check manuscripts for similarities
- Data about content activity e.g. social, dataset links
- Open REST API & Search

infrastructure | infrastrakt[a|

noun

the basic physical and organizational structures and facilities (e.g. buildings, roads, power supplies) needed for the operation of a society or enterprise. The social and economic infrastructure of a country.

<metadata>

Why do publishers assign DOIs?

Show people where your content is located, and update that if/when the content moves

- Helps indexing
- Linking from other sources is persistent
- Full-text links can be used for text-mining and indexing



More metadata means that people have more ways to find publications

What are we trying to do?

- Institutions want to be able to track publications from their researchers and how much content is being published under OA licenses
- Funders need a way to track outputs from thousands of publishers
- Publishers will need a standard and efficient way to demonstrate conformance mandates
- All the stakeholders in the process (funders, publishers, institutions and researchers) will span disciplines, institutions, geographies and jurisdictions

How can we do it?

- Crossref has extended its metadata schemas and Application Programming Interfaces (APIs) to enable institutions, funders and publishers to use Crossref metadata to:
 - track research that is subject to these mandates
 - ensure that said research is being disseminated according to the requirements of the mandates

Metadata can be used to identify

- Publications relating to research supported by particular funders.
- Publications from particular researchers identified by their ORCID ID
- Bibliographic metadata
- License information (including embargoes)
- The location of the full text for reading and text & data mining application
- Long-term preservation arrangements
- Clinical trial information
- Updates (errata, corrigenda, retractions)

Funding and license information

- Standardisation of funder names using the Open Funder Registry
- 14,200 international funders
- Publisher deposit of funding metadata which they collect from authors upon submission (or add it afterwards)
- Facilitates large-scale analysis and reporting to funders

Made available in bulk or via an API

```
api.crossref.org/works?filter=has-funder:true&rows=0
                                 date-time:
                                               Z015-11-13T10:03:35Z",
                                 timestamp: 1447409015813
"status": "ok",
"message-type": "work
                             reference-count: 0,
"message-version": "1
                             publisher: "Springer Science + Business Media",
"message": {
                           - funder: [
   "facets": {},
   "total-results":
   "items": [],
                                     award: [ ],
   "items-per-page":
                                      name: "Arthritis Research UK",
 ▼ "query": {
                                     DOI: "10.13039/501100000341"
      "start-index":
      "search-terms"
                           - content-domain: {
                                 domain: [ ],
```



Search 14,200 funders connected to 1,252,198 published works with funding data

Q Search funders...

http://search.crossref.org/funding



Metadata Search



RESEARCH COUNCILS UK

Arts and Humanities Research Council

Biotechnology and Biological Sciences Research Council

Natural Environment Research Council +

Science and Technology Facilities Council

Medical Research Council

Engineering and Physical Sciences Research Council

Economic and Social Research Council

TYPE

- ☐ Journal Article (5,234)
- ☐ Dataset (449)
- ☐ Chapter (12)
- ☐ Conference Paper (2)
- □ Book (1)

YEAR

Q Medical Research Council

SORT BY: RELEVANCE PUBLICATION YEAR

± DOWNLOAD AS CSV

PAGE 1 OF 5,698 RESULTS

Beyond the Medial Regions of Prefrontal Cortex in the Regulation of Fear and Anxiety

Journal Article published 22 Feb 2016 in Frontiers in Systems Neuroscience volume 10

Research funded by Medical Research Council (G0901884)

Authors: Yoshiro Shiba, Andrea M. Santangelo, Angela C. Roberts

EMOTICOM: A Neuropsychological Test Battery to Evaluate Emotion, Motivation, Impulsivity, and Social Cognition

Journal Article published 24 Feb 2016 in Frontiers in Behavioral Neuroscience volume 10

Research funded by Medical Research Council (MR/J011894/1)

Authors: Amy R. Bland, Jonathan P. Roiser, Mitul A. Mehta, Thea Schei, Heather Boland, Daniel K. Campbell-Meiklejohn, Richard A. Emsley, Marcus R. Munafo, Ian S. Penton-Voak, Ana Seara-Cardoso, Essi Viding, Valerie Voon, Barbara J. Sahakian...

Sepsis Patients with First and Second-Hit Infections Show Different Outcomes Depending on the Causative Organism

Journal Article published 26 Feb 2016 in Frontiers in Microbiology volume 7

License information

Information available as an overview or at the work level

```
i api.crossref.org/works?rows=0&filter=license.url:http://creativecommons.org/licenses/by/3.0/
  status: "ok",
  message-type: "work-list",
  message-version: "1.0.0",
- message: {
    - query: {
          search-terms: null,
          start-index: 0
      items-per-page: 0,
      items: [],
      total-results: 216394,
      facets: { }
```

At the work level

```
▼<publisher item>
  <identifier id type="pii">6742786</identifier>
  <identifier id type="other">6742786</identifier>
 /publisher Items
▼program name="AccessIndicators">
  <license ref>http://creativecommons.org/licenses/by/4.0/</license ref>
 w<doi data>
  <doi>10.1155/2016/6742786</doi>
  <timestamp>20161031090007</timestamp>
  <resource>https://www.hindawi.com/journals/ae/2016/6742786/</resource>
 ▼<collection property="text-mining" setbyID="hindawi">
   ▼<item>
    ▼<resource mime type="application/pdf">
       http://downloads.hindawi.com/journals/ae/2016/6742786.pdf
```

License information

Can convey embargo & archiving information:

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</fr:assertion>
</fr:program>
<ai:program name="AccessIndicators">
 <ai:license ref applies to="vor" start date="2014-05-02">
   http://publisher.com/licenses/license v1.html
 </ai:license ref>
 <ai:license_ref applies_to="am">
   http://publisher.com/licenses/open license v1.html
 </ai:license ref>
 <ai:license ref applies to="tdm" start date="2014-12-02">
   http://publisher.com/licenses/tdm license v1.html
 </ai:license ref>
</ai:program>
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 <archive name="CLOCKSS"/>
</archive locations>
```

ORCID iDs

- Nearly 0.6 million ORCID iDs deposited with Crossref from nearly 850 publishers
- Enables auto-update workflow

Enables accurate mapping between authors and

publications

```
},
source: "CrossRef",

- title: [
    "الإعجاز في قوله تعالى "والمرسلات عرفا""
],
prefix: "http://id.crossref.org/prefix/10.19138",

- author: [
    - {
        affiliation: [ ],
        family: "معوض",
        given: "رامي",
        ORCID: "http://orcid.org/0000-0003-4211-3903"
}
```

Measuring impact

Citations

Publishers can make these openly available via Crossref

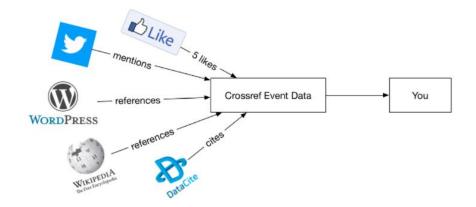
Crossref Event Data

- · Our data is open, auditable, transferrable, and portable
- · Regular updates from data contributors and reliable, secure storage
- Raw data, free of charge with the addition of an SLA provided at favorable rates
- A community-led initiative and data from a neutral source
- https://www.crossref.org/services/event-data/

Crossref Event Data

Every 'thing that happens' is recorded as an individual Event. We gather Events from a wide range of sources, but examples include:

- an article was linked from DataCite dataset via its Crossref DOI
- an article was referenced in Wikipedia using its Crossref DOI
- an article was mentioned on Twitter using its Article Landing Page URL
- · an article has been liked on Facebook 55 times as of June 15th



Also:

- Free to read tag
- Work on organisational identifiers (the OI project): http://blog.crossref.org/2016/10/the-oi-project.html
- Early content registration slated for Q3: http://blog.crossref.org/2016/03/community-responses-to-our-proposal-for-early-content-registration.html

The Crossref extended APIs, of course, will only work if publishers supply the appropriate metadata and follow the specified metadata deposit workflows.

Thank you

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https://crossref.org

